

Version 3.6 Release Notes (Compliance Management)

Last Modified on 12/21/2021 2:15 pm EST

Note: New release features are not added to your app version by default. To enable a particular one within your org, please contact your CSM for more information.

New Features

Automated Regulatory Library Notifications

- The app's regulatory library management has been improved, with nightly email alerts about legislative changes within those libraries. This update helps you to better understand changes to your regulatory libraries so that you can identify the material changes entailing a reassessment of the updated regulatory requirement. After identifying said new requirements, you can [push these changes](#) out to automatically initiate the reassessment process for all your existing assessments.
- Other compliance app updates include structural changes that support our [Ascent content partnership](#).

Requirement Status

Regulatory Update

Regulatory Update

Req-24

Review changes made to this Requirement to determine if a reassessment is required. Select the "..." icon at the top-right of the form to review the Requirement's history.

Step 1: Review Requirement

Review details and important dates for this updated requirement.

Requirement Name

Appointment of accountable person

Description

An organization must appoint a qualified individual as Privacy Officer who is responsible for overseeing the development, implementation and maintenance of the organization's privacy program and compliance with PIPEDA. The identity of the person responsible for privacy (Privacy Officer) must be made public upon request. If appropriate, the Privacy Officer may be supported by a Privacy Office.

Source of Requirement

PIPEDA, Schedule 1, section 4.1 to 4.1.4 (<http://laws-lois.justice.gc.ca/eng/acts/P-8.6/page-11.html>)
PIPEDA Self-Assessment Tool (https://www.priv.gc.ca/media/1966/pipeda_sa_tool_200807_e.pdf)

Second-Line Testing Capabilities

- Compliance teams' second-line [testing capabilities](#) have been enhanced to accommodate a clear review and approval workflow.

Test Status
In Progress

Review of Computer Program Functions
T-17

Test Name
Review of Computer Program Functions

Test Procedure

1. Obtain and review the functions of the computer programs used by the organization.
2. Confirm the program functions been reviewed and approved within the last fiscal year.
3. Confirm that the functions cover all of the organization's needs.

Description
Review the functions of the organization's computer programs to see if any updates are required or if our software has any blindspots.

Method
Analytical Procedure

Clarified Data Changes with Data Audit Trail

- You can now get a clear historical record of changes to your risks, controls, regulatory requirements, and other data, alongside the organizational impacts of those changes.
- The Data Audit Trail has been configured to capture all field changes, with strikethroughs for removed data and highlighted text for new form data. For example, if a compliance team needs to understand new Payment Card Industry (PCI) requirements for employees completing privacy training, Resolver Core automatically stores a simplified view of all historical changes made to these requirements. That way, the compliance team can understand whether the regulation's updates have a small (e.g., formally spelling out the PCI acronym) or large (e.g., increased frequency of training) impact on the organization. The team can then see if their controls adequately address the risks presented by the updated obligations and determine any required changes in their assessment approach.

The screenshot shows a 'HISTORY' tab at the top. Below it is the title 'Appointment of accountable person' with a 'Req-24' badge. A pagination bar shows '< 1 2 3 4 ... >'. The history entries are as follows:

From	Message	To
Resolver Workflow (workflow@resolver.com)	Changed state from Active - Compliance to Regulatory Update by nightly trigger.	December 10, 2021 12:03 AM
[Redacted]	Updated Status Updated Date to December 8, 2021.	December 9, 2021 2:57 PM
[Redacted]	Updated formula Inherent to 10 by Data Import.	December 9, 2021 1:49 PM
[Redacted]	Cleared formula Check if Date Updated is on or before Today and if the Requirement is New or Updated and if reassessment is required by Data Import.	December 9, 2021 1:49 PM

Enriched Third-party Tool Integrations with Webhooks

- Now data can be sent between Core and other systems in response to an event, as opposed to the previous set schedule (processed hourly, daily, monthly, etc.). For example, sending a Slack or Teams message can trigger Core to create and populate an object (e.g., an Issue or Corrective Action) based on that message's contents.
- This feature can also work the other way around. For example, if a Core event occurs, it can automatically create a Slack or Teams channel for discussing that item.

UI Enhancements

- Most reports are now set to landscape orientation by default.
- Minor form layout upgrades were performed.

End of Internet Explorer Support

- Beginning in 2022, Core will be ending support for Internet Explorer 11. If you are currently using Internet Explorer 11, we recommend that you switch to another [supported browser](#). Read more [here](#) about Internet Explorer becoming an End of Life (EOL) software.