

Version 3.1 (Security Risk Management)

Last Modified on 01/11/2021 6:30 pm EST

Note: The following features are not added to Security Risk Management by default. For information on adding these features to your version of the app, contact your CSM.

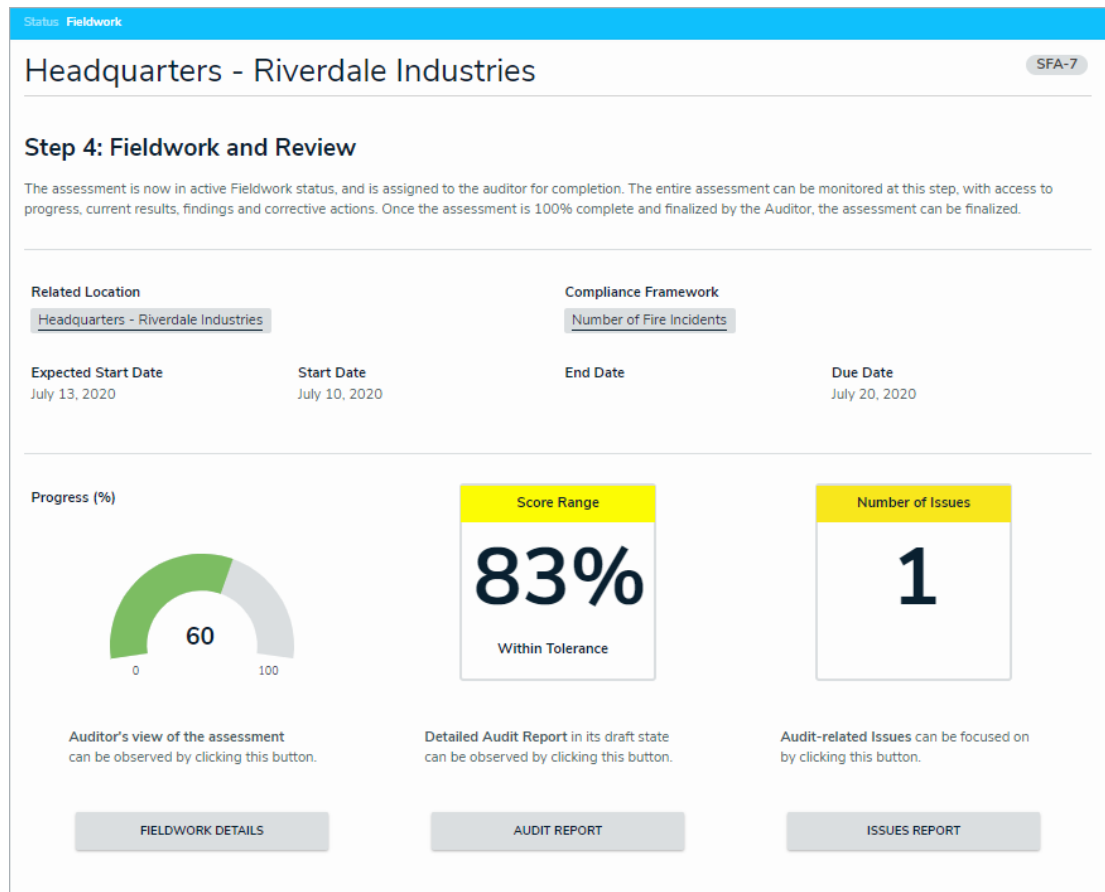
New Features

Assessment Creation Improvements

- Resolver has made the following improvements to streamline the assessment creation process:
 - Focus has shifted to using a single Assessment model (Security Framework Audit), and improved the creation flow for the audit administrator. The previous Location Specific Framework model (which included Location object in the assessment) has now been de-emphasized, but is available for use if needed.
 - A wizard-style, stepped approach to creating and launching the assessment has now been included, allowing a quicker and more streamlined experience.

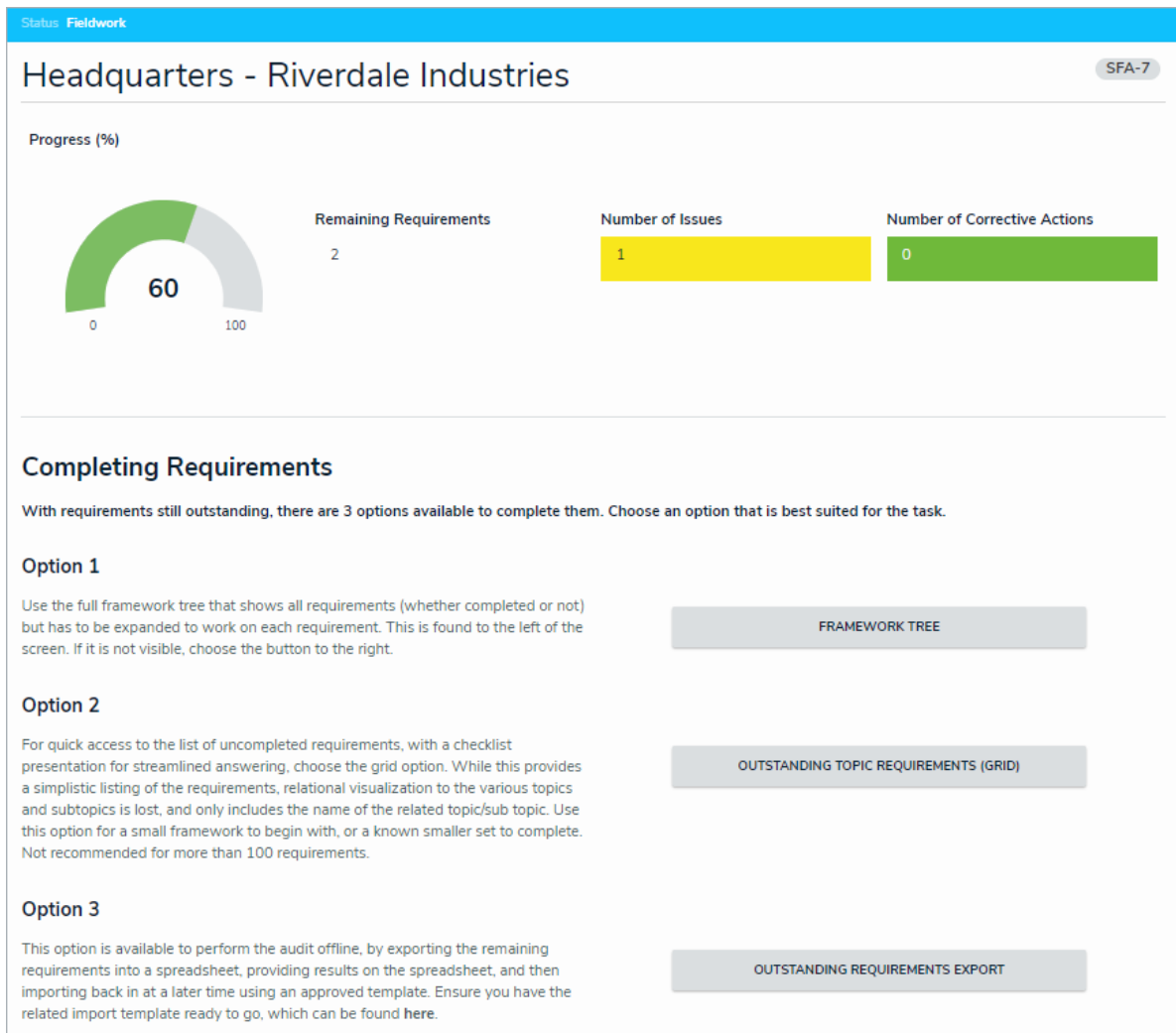
The screenshot shows a web interface for creating a new security framework audit. At the top, there's a blue header bar with 'Status' and 'Creation' tabs. Below the header, the main title is 'Create a New Security Framework Audit' with a 'SFA-XXX' identifier. The form is titled 'Step 1: Define the Location and Timeframe'. A sub-header reads: 'Please enter the location of the security audit, Business Unit, expected start and due dates. The name of the assessment will be automatically created for you.' The form contains several input fields: 'Title' (text input), 'Related Location' (dropdown menu with 'Search' text), 'Expected Start Date' (calendar icon dropdown), 'Due Date' (calendar icon dropdown), and 'Business Unit' (dropdown menu with 'Search for a Business ...' text and a close button). At the bottom, there's a question: 'Is this assessment expected to be repeated at this location routinely with the same content?' with a 'Yes' dropdown. A blue 'CREATE' button is at the bottom right, and a 'CANCEL' link is at the bottom right.

- Audit administrators and managers can now monitor fieldwork directly after launch, from a dashboard view of the assessment in progress.



Assessment Fieldwork Improvements

- Resolver has provided the auditor with a dashboard and more options to complete the audit, in a manner that is more suitable depending on user preference. Navigation tree, data grid, or offline onto a spreadsheet options are now available.



- Issues can now be added to the results without requiring a location to be assigned to them - this was previously required for Location-based Issue reporting.

UI Improvements

- Colored cells in all relationship and report tables have been changed to ovals.

SRM - Location Risk

Last Updated: Jun 29, 2020 9:26 AM

L-1 Headquarters - Riverdale Industries

Key Risks Name	Inherent Risk Score	Inherent Likelihood	Potential Incidents	Related Incidents
Health and Safety	Significant	Possible	10	3
Reputational	Medium	Remote	62	0

Continuous Assessments

- Users in the Audit Administrator user group will now have the option to make an assessment be either one-off or continuous (Continuous is default). If a completed assessment is continuous, its status will change from Complete to Scoping when the audit

administrator re-engages the assessment for the new period. Continuous assessments will also keep track of how many **business** days the current iteration has been running for.

Status Complete

Riverdale Towers

SFA-4

Step 5: Complete, Monitor & Archive

The current assessment period is now complete. A fully completed Audit report is generated at this point. If this is a repeatable assessment, you will have the ability to re-assess. An option to Archive (remove all assessment data from general searches) is also available.

ARCHIVE

RE-ASSESS

- Audit administrators can archive a continuous assessment to prevent it from resuming.
- Audit results are reset when the audit is restarted. Any Issues or Corrective Actions will be remain active until they are closed independently.

Status Scoping

Riverdale Warehouse 44D

SFA-49

Step 3: Assign Auditor and Re-launch Assessment

This assessment has been reset from a previous period, so the same set of requirements can be assessed again. All answers have been reset, but any previous Issues or Corrective Actions that are not completed are still accessible.

Assign the field auditor and launch the assessment. The current scoped assessment can be reviewed as well, prior to re-launch.

Location

Riverdale Warehouse 44D

Compliance Framework

Hazardous Materials & Waste Management Plan

Auditor

Start typing to find Us...

Expected Start Date

Due Date

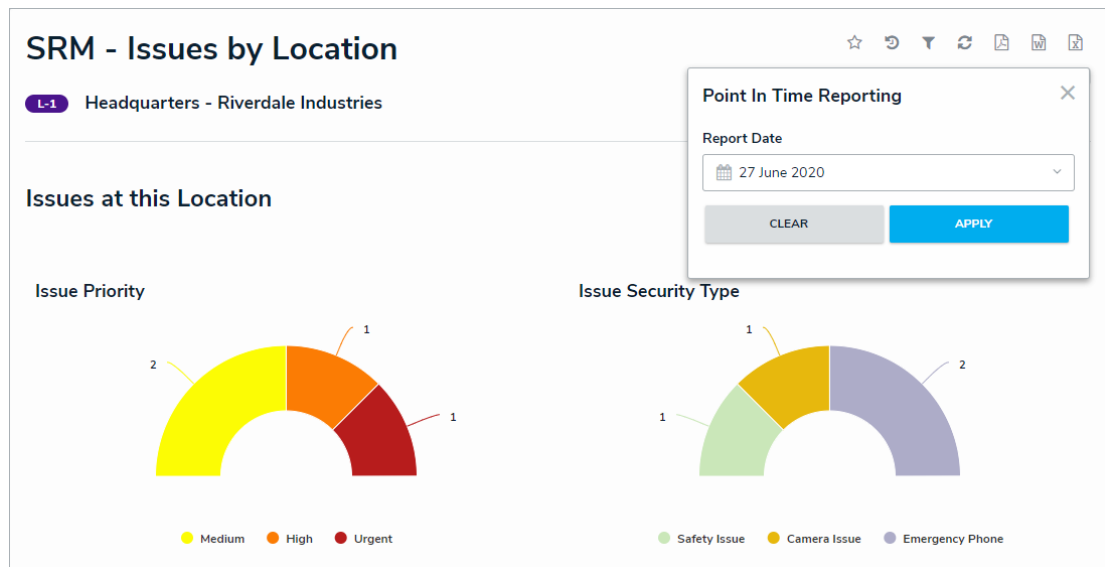
REVIEW SCOPE

BEGIN FIELD WORK

Point in Time Reporting

- With the new Point-in-Time reporting feature, users can view historical information in reports in order to compare and contrast against information from previous timeframes. For instance, a security risk team can easily compare a previous year's incident heatmap with the current year to better plan future efforts. Admins can enable this feature from the Configure Filters palette when editing a report. This feature has been enabled on the following reports:
 - Location Dashboard
 - Issues by Location
 - Incident Heatmap

- Location Risk



Miscellaneous Improvements

- Resolver has made minor adjustments to correct irregularities with some of Security Risk Management's forms.
- We have made the following adjustments to user groups:
 - The Security Audit - Read Only user group has been renamed to SRM Assigned Actions (Limited User).
 - We have created a new Security Audit Fieldwork (Limited User) user group. This is used for assigning Auditors on individual assessments.
- The Administrator role has been removed from the Security Risk Management navigation.