

Perform an Applicability Assessment

Last Modified on 11/13/2025 9:20 am EST

Overview

Once an assessment has been scoped, Compliance Team members must review its requirements. All requirements assigned to you and your team will be available in **Compliance Management > Determine Applicability > Applicability Assessment**.

User Account Requirements

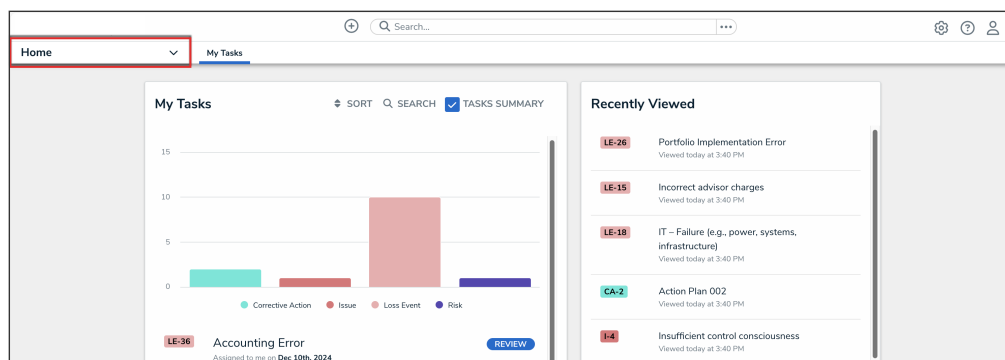
The user must be part of the Compliance Team or be a Requirement Owner & Delegate to see requirements and requirement details.

Related Information/Setup

Please refer to the [Launch an Assessment](#) article for more information on launching and reviewing assessments in Compliance Management.

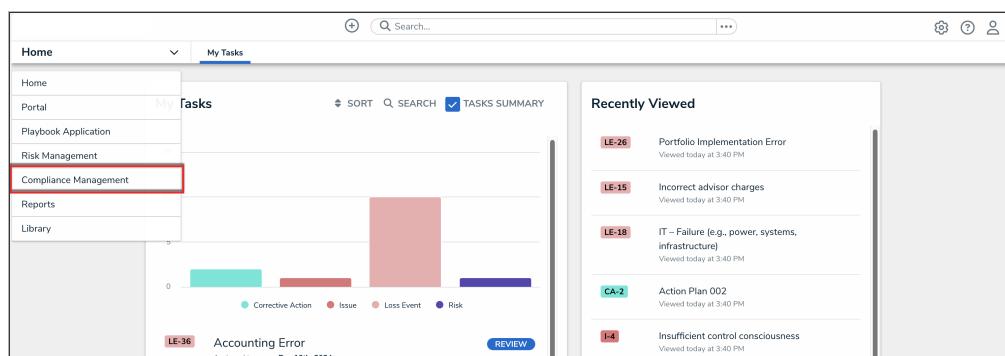
Navigation

1. From the **Home** screen, click the **Home** drop-down menu.



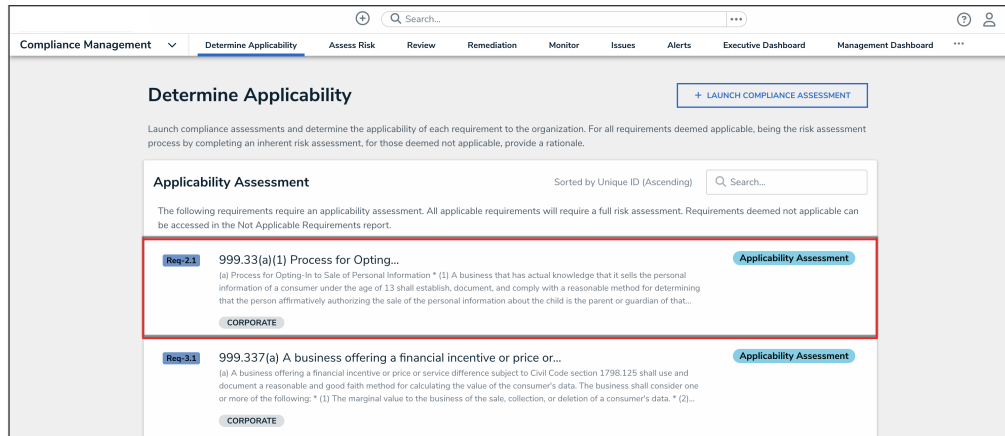
Home Drop-down Menu

2. From the list of applications, click **Compliance Management**.



Performing an Applicability Assessment

1. From the **Determine Applicability** activity, click a requirement in the **Applicability Assessment** section to display it.



Requirement in the Applicability Assessment State

2. Review the requirement to determine if it's relevant to your line of business.
 - The **Requirement Name**, **Description**, **Subtopic**, and **Source of Requirement** fields may already be completed, depending on the content in your compliance framework.
3. Click the links within the **Requirement Details** section to review the specific areas your organization must comply with.
4. **(Optional):** From the **AI Quick Actions** card, depending on what has been enabled on the form, you can either:

- a. Click the **Summarize Regulatory Text** button to use Regulatory Summarization. For more information on using Regulatory Summarization, please refer to the [Using the Regulatory Summarization Feature on a Form](#) article.



Note:

By generating a summary, you are agreeing to send selected text for temporary processing by our AI provider in the United States. [Click here to learn more about Resolver's use of AI.](#)

- b. Click the **Find Similar Requirements** button to use Requirement Similarity. For more information on using Requirement Similarity, please refer to the [Using the Requirement Similarity Feature on a Form](#) article.

- c. Click the **Recommend Controls** button to use Recommended Controls. For more information on using Recommended Controls, please refer to the [Using the AI-Recommended Controls Feature on a Form](#) article.

The screenshot shows the Resolver interface for a requirement named 'No Retribution Permissible'. The 'AI Quick Actions' card is highlighted with a red border. It contains the following actions:

- Find Similar Requirements:** References similar requirements across your organization.
- Summarize Regulatory Text:** Generate a summary to highlight the key points.
- Recommend Controls:** Identify existing controls within your organization.

The requirement name 'No Retribution Permissible' is displayed below the card.

AI Quick Actions Card

5. Select either **Applicable** or **Not Applicable** in the **Applicability Assessment** field.

If you selected	Then
Applicable	a. In the Requirement Owner and Requirement Delegate (if applicable) fields, start typing to display a list of available options, then select the relevant user and/or user group. b. (Optional): Click View Requirement Profile to view this assessment's Requirement Profile report, which summarizes all information about the requirement as well as its attached controls and issues. c. Click Send for Risk Assessment. The Requirement Owner will get an email that a requirement has been assigned to them. Applicability Assessment Review the requirement and associated requirement details to determine if the requirement is relevant to your line of business. If the requirement has been deemed not applicable, please provide a rationale. Applicability Assessment ☒ Applicable ☐ Not Applicable Assign Requirement Owner If the requirement is applicable to the line of business, assign a Requirement Owner who has responsibility for this requirement within the business. If this individual has a delegate or someone who would submit risk evaluations on their behalf, please assign them as the Requirement Delegate. Requirement Owner Start typing to find Use... ARCHIVE SEND FOR RISK ASSESSMENT <i>An applicable requirement.</i>

**Not
Applicable**

- a. Enter your reason for marking this requirement **Not Applicable** in the **Rationale for Not Applicable** field.
- b. Click **Requirement Not Applicable**. The Requirement will move to the **Not Applicable** workflow state and can be viewed in the **Reports** application. Note: Only the Compliance Team can reassess a requirement when it's deemed inapplicable.

Applicability Assessment

Review the requirement and associated requirement details to determine if the requirement is relevant to your line of business. If the requirement has been deemed not applicable, please provide a rationale.

Applicability Assessment

☒ Applicable ☐ Not Applicable

Rationale for Not Applicable

ARCHIVE **REQUIREMENT NOT APPLICABLE**

Marking a requirement as Not Applicable.