

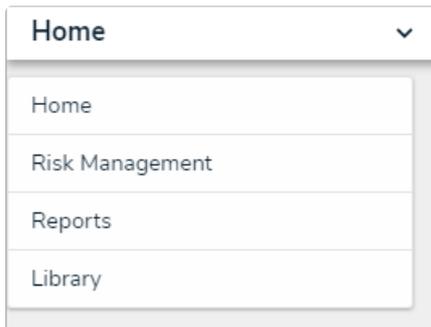
Assign Risk and Control Owners

Last Modified on 04/05/2023 5:14 pm EDT

Before an assessment can be launched, the risk team must first ensure that each risk and control in the assessment's scope has been assigned to a risk and control owner, respectively.

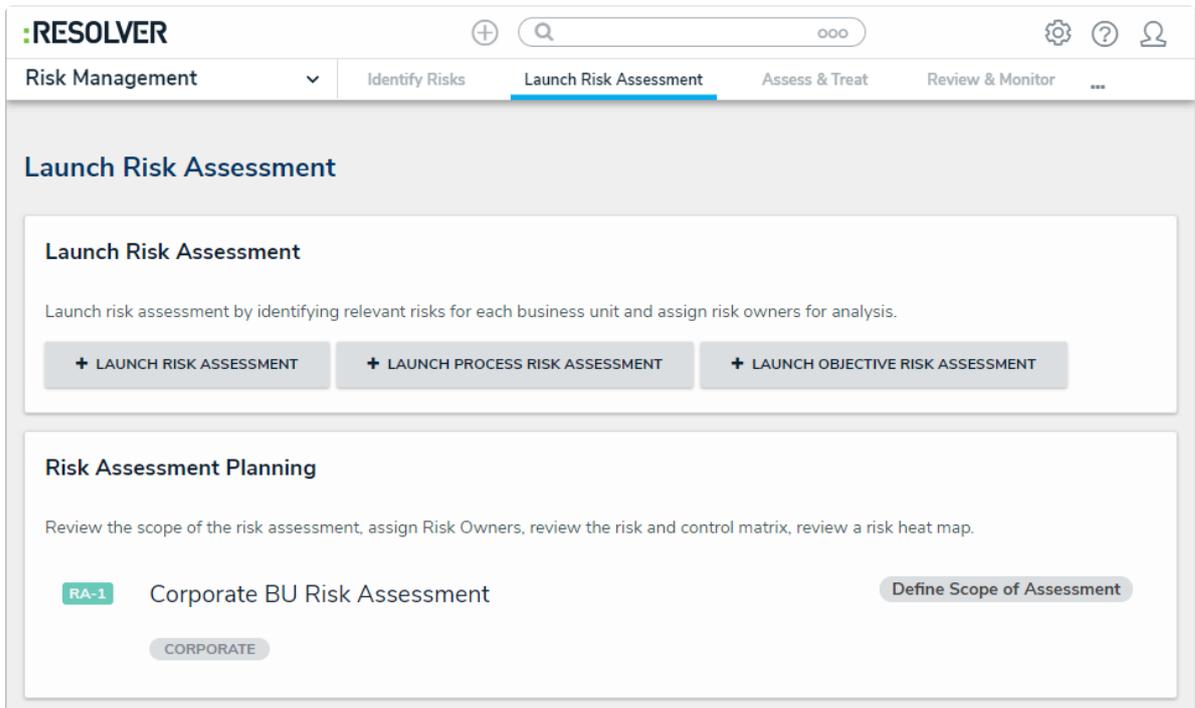
To assign risk owners:

1. Log into a user account from the **Risk Team** user group.
2. Click the dropdown bar in the nav bar > **Risk Management**.



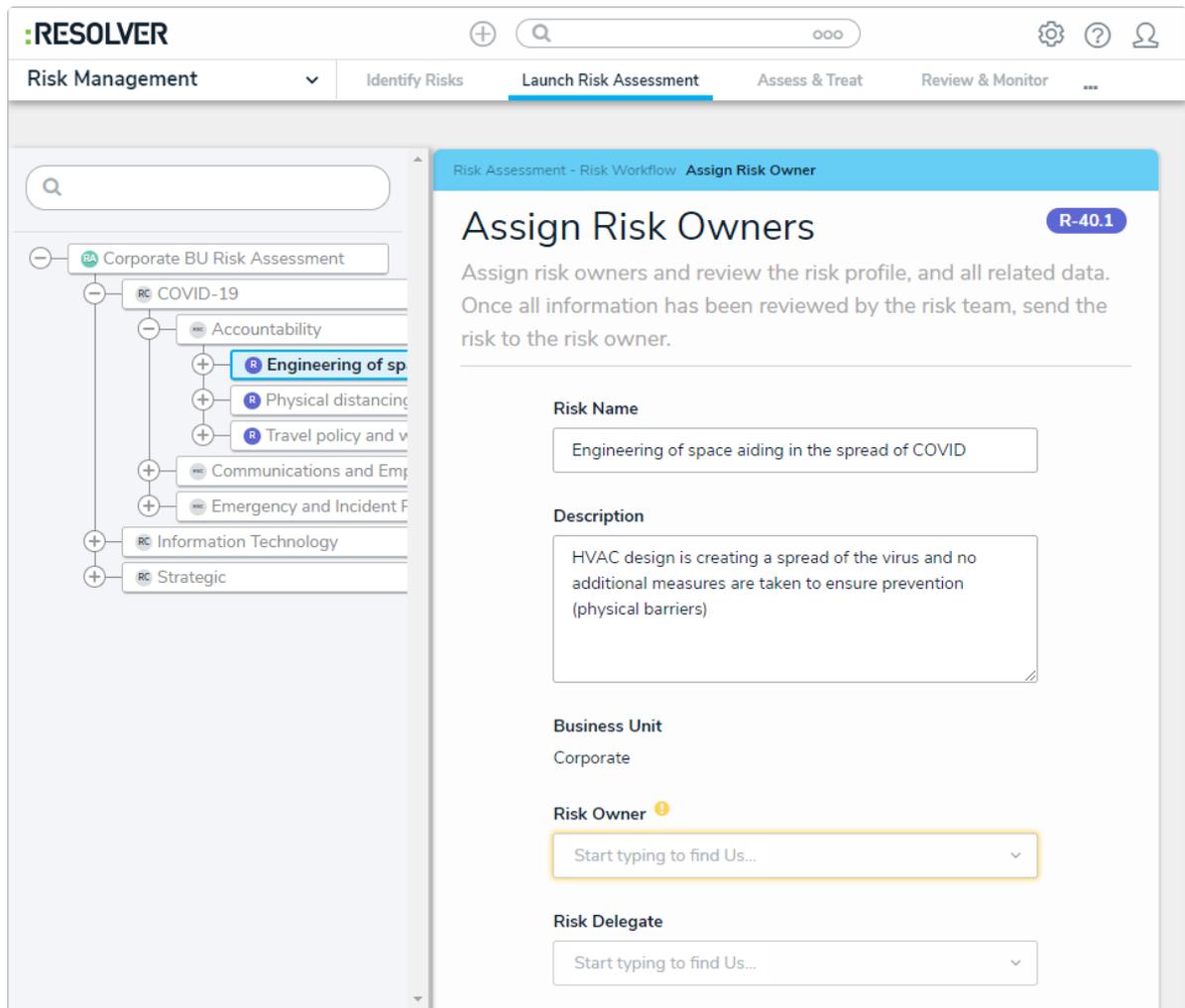
The nav bar.

3. Navigate to the **Launch Risk Assessment** tab and click on the relevant assessment in the **Risk Assessment Planning**, **Process Risk Assessment Planning**, or **Objective Risk Assessment Planning** section to display the **Risk Assessment** form.



The Risk Assessment Planning section.

4. In the tree view to the left, click one of the risks to open the **Assign Risk Owners** form.



The Assign Risk Owners form.

5. Begin typing the name of the desired risk owner in the **Risk Owner** field and select them from the dropdown list to assign them to the risk. Multiple risk owners can be assigned to a single risk.
6. **Optional:** If required, begin typing the name of the desired risk delegate in the **Risk Delegate** field and select them from the dropdown list to assign them to the risk. Multiple risk delegates can be assigned to a single risk.
7. Open the **Control Assessment** form using one of the two following methods:
 - In the navigation tree to the left, click the **+** button next to a risk to see its attached controls and click the desired control.
 - In the **Assign Risk Owners** form, scroll to the **Controls** section and click the desired control.

Control Self-Assessment Workflow (Risk & Compliance) **Assign Control Owner**

Control Assessment C-105.2

Review the control documentation and how it operates within the organization.

Control Name

Description

Control Owner ⓘ

Control Delegate

Risks **Requirements**

Engineering of space aiding in the spread of COVID

The Control Assessment form.

8. Begin typing the name of the relevant control owner in the **Control Owner** field and select them from the dropdown list to assign them to the control. Multiple control owners can be assigned to a single control.
9. **Optional:** If required, begin typing the name of the desired control delegate in the **Control Delegate** field and select them from the dropdown list to assign them to the control. Multiple control delegates can be assigned to a single control.
10. **Optional:** To assign multiple risks and controls in bulk, click **Risk and Control Matrix** in the **Risk Reports** section to display a list of all risks and their attached controls.

Corporate BU Risk Assessment					
42 results < Page 1 of 2 25 rows >  					
Risk Unique ID	Risk Name	Risk Description	Risk Owner	Inherent Impact	Inherent Likelihood
R-49.1	Service provider entering premises without the same scrutiny as an employee when it comes to COVID	Sevice provider entering premises without the same scrutiny as an employee when it comes to COVID		 Moderate	 Probable
R-48.1	Insufficient funds to address any COVID measure gaps outlined in control and prevention procedures	Insufficient funds to address any COVID measure gaps outlined in control and prevention procedures		 High	 Probable
R-48.1	Insufficient funds to address any COVID measure gaps outlined in control and prevention procedures	Insufficient funds to address any COVID measure gaps outlined in control and prevention procedures		 High	 Probable

The Risk and Control Matrix.

11. When each risk and control have an owner assigned, send the objects to their respective owners using one of the two following methods:
 - Click **Send to Risk Owner** or **Send to Control Owner** at the bottom of each **Assign Risk Owners** or **Control Assessment** form.
 - Navigate to the **Risk Assessment** form at the top of the tree and click **All Owners Assigned - Send to Risk & Control Owners**.

12. In the **Risk Assessment** form, click **Start Risk Assessment**.

The screenshot displays the Resolver Risk Management interface. At the top, the Resolver logo and 'A KROLL BUSINESS' tagline are visible. The main navigation bar includes 'Risk Management' and a search bar. Below this, a secondary navigation bar shows 'Identify Risks', 'Launch Risk Assessment' (highlighted), 'Assess & Treat', and 'Review & Monitor'. On the left, a tree view shows a hierarchy starting with 'Corporate BU Risk Assessment', followed by 'COVID-19', 'Accountability', and several sub-items under 'Accountability' (Engineering of spa, Physical distancing, Travel policy and v), 'Communications and Emp', and 'Emergency and Incident F'. Below these are 'Information Technology' and 'Strategic'. The main content area is titled 'Status Reports' and contains the text: 'Leverage the Assessment Status Reports below to track workflow status of all key objects within the assessment.' Below this text are three buttons: 'ASSESSMENT STATUS REPORT', 'ISSUE & ACTION STATUS REPORT', and 'LOSS EVENT STATUS REPORT'. A larger blue button labeled 'START RISK ASSESSMENT' is positioned at the bottom right of the main content area. At the very bottom of the interface, there is a link labeled 'VIEW RELATIONSHIP GRAPH'.

The Start Risk Assessment button.