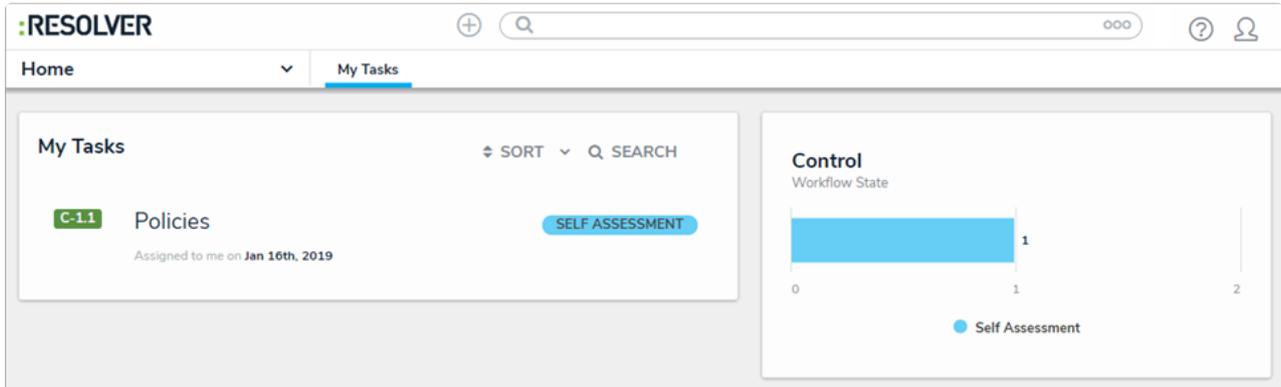


Assess a Control

Last Modified on 03/05/2021 5:54 pm EST

Control owners and their delegates are responsible for reviewing controls to ensure the control documentation meets their organization's needs. Controls are assigned to a requirement, which is then assigned to the Control Owner. All controls that require action from the Control Owner appear on the [My Tasks](#) page.



A control assigned to the Control Owner on the My Tasks page.

To review a control:

1. Log into a user account that's been added to the **Control Owner & Delegate** user group to display the **My Tasks** page.
2. Click a control to open the **Control Assessment** form.

RESOLVER Applications

Control Self-Assessment Workflow (Risk & Compliance) **Self Assessment**

Control Assessment C-49.2

Review the control documentation and how it operates within the organization.

Control Name
Critical IS vendor, hardware, software and asset information is formally documented.

Description
Critical IS vendor, hardware, software and asset information is formally documented.

Control Owner
Control Owner & Delegate (Limited User)

Control Delegate
Control Owner & Delegate (Limited User)

Risks **Requirements**

Cyber Security

The Control Assessment form.

3. **Optional:** Edit the **Control Name** or **Description** fields.
4. Select a control automation option in the **Automated Control** dropdown.
5. Select the frequency of the control in the **Frequency** dropdown.
6. Select the appropriate option to describe the control in the **Key Control** dropdown.
7. Select whether the control is Preventive or Detective in the **Prevent or Detect** dropdown.
8. Drag or browse for a file in the **Supporting Attachments** field. Files can be up to 100 MB in size. Most files types are accepted, however, you cannot upload files with the following extensions:
 - .bat
 - .exe
 - .gif
 - .sh
 - .dll
 - .com
9. Click **Add Existing Policies** under the **Policies** table. Begin typing keywords in the search bar and click **+ Add** next to the desired policy.
10. Select an option that describes the effectiveness of the control in the **Control Self**

Assessment dropdown.

11. **Optional:** In the **Issues and Corrective Actions** section, click **Add Existing Issues**. Begin typing keywords in the search bar and click **+ Add** next to the desired issue.

Issues and Corrective Actions

Document any known issues related to the control. To find existing issues, click **Add Existing Issue** at the bottom of the table. If a new issue is required, click **Create New** at the bottom of the table.

Issues

Unique ID	Name	Priority	Due Date	Workflow State
I-10	Criminal Record Present	● Low		Open ✕

[Q ADD EXISTING ISSUES](#) [+ CREATE NEW](#)

SUBMIT FOR REVIEW

The Issues and Corrective Actions section.

12. Click **Submit for Review** to return the control to the risk team for review. If the risk team finds the control requires further remediation, they may send it back to the control owner.